

## Leading Brokers for Cross-Border Trading (06/19 - 06/20)

Broker	# Trans	DR Iss	Broker	# Trans	DR Canc
CITIGROUP GLOBAL MKT	4	97,400	CITIGROUP GLOBAL MKT	9	101,962
SCOTIAMCLEOD (USA)	2	58,560	INTERACTIVE BROKERS	2	88,800
			J P MORGAN SECS	1	48,167

## Top Institutional Holders

Name	Location	# Of DRs Held	File Date
Renaissance Technologies, LLC	NY	318,400	Mar 2020
Dimensional Fund Advisors, L.P. (U.S.)	TX	145,463	Mar 2020
Northern Trust Investments, Inc.	IL	135,832	Mar 2020
State Street Global Advisors (SSgA)	MA	131,750	Mar 2020
Sumitomo Mitsui Trust Asset		80,745	Mar 2020

## DR Activity Indicators

## FOR THE MONTH OF: June 2020

	High	Low	Close	Last Mo. % Chg
DR Price	\$4.99	\$3.34	\$3.85	26.64%
S&P 500	3,232	3,002	3,100	1.84%
S&P/BNY Mellon ADR index	134	125	128	4.05%

	High	Low	Average
Daily DR \$ Trading	\$1,547,738	\$23,423	\$201,828
Daily DR Trading	432,728	5,840	51,093

## PERIOD OF: 06/19 - 06/20

	High	Month	Low	Month
DR Price	\$12.82	Jun-19	\$3.01	May-20
S&P 500	3,386	Feb-20	2,237	Mar-20
S&P/BNY Mellon ADR index	153	Jan-20	98	Mar-20

	High	Month	Low	Month
Daily DR \$ Trading	\$1,547,738	Jun-20	\$425	Oct-19
Daily DR Trading	432,728	Jun-20	39	Oct-19

## Cross-Border Broker Activity - June 2020

Broker	DRs Issued	# Of Trans	DRs Cancelled	# Of Trans	Net Issue/Cancel	Total Cross-Border Trading
CITIGROUP GLOBAL MKT	83,000	2	35,528	3	47,472	118,528
J P MORGAN SECS	0	0	48,167	1	(48,167)	48,167
<b>Totals</b>	<b>83,000</b>	<b>2</b>	<b>83,695</b>	<b>4</b>	<b>(695)</b>	<b>166,695</b>

## Itau Corpbanca - Depositary Receipts Program Summary - June 2020

Month	DRs Issued	DRs Cancelled	Net Issue/Cancel	Total Issue/Cancel	DRs Outstanding	DRs Outstanding % Change	Month End DR Price	DR Market Capitalization	Registered DR Holders
Jun-19	18,560	0	18,560	18,560	1,502,990	1.25%	\$12.50	\$18,787,375	1
Jul-19	0	30,800	(30,800)	30,800	1,472,190	-2.05%	\$11.73	\$17,268,789	1
Aug-19	0	15,000	(15,000)	15,000	1,457,190	-1.02%	\$11.20	\$16,320,528	1
Sep-19	0	10,327	(10,327)	10,327	1,446,863	-0.71%	\$11.16	\$16,146,991	1
Oct-19	4,400	0	4,400	4,400	1,451,263	0.30%	\$9.08	\$13,177,468	1
Nov-19	0	0	0	0	1,451,263	0%	\$7.18	\$10,420,068	1
Dec-19	0	58,000	(58,000)	58,000	1,393,263	-4.00%	\$8.61	\$11,995,994	1
Jan-20	0	0	0	0	1,393,263	0%	\$7.21	\$10,045,426	1
Feb-20	0	0	0	0	1,393,263	0%	\$5.93	\$8,262,050	1
Mar-20	0	0	0	0	1,393,263	0%	\$3.99	\$5,559,119	1
Apr-20	50,000	41,107	8,893	91,107	1,402,156	0.64%	\$4.27	\$5,987,206	1
May-20	0	0	0	0	1,402,156	0%	\$3.04	\$4,262,554	1
Jun-20	83,000	83,695	(695)	166,695	1,401,461	-0.05%	\$3.85	\$5,395,625	1

### Daily DR Price Activity - June 2020

Month	DR Trading Vol	Avg/Day DR Trading Vol	DR Turnover Ratio	DR Dollar Trading Vol	Avg/Day DR Dollar Trading Vol	DR Dollar Turnover Ratio
Jun-19	106,168	5,308	7.06%	\$1,285,667	64,283	6.84%
Jul-19	59,358	2,698	4.03%	\$716,476	32,567	4.15%
Aug-19	163,212	7,419	11.20%	\$1,840,492	83,659	11.28%
Sep-19	134,635	6,732	9.31%	\$1,543,078	77,154	9.56%
Oct-19	179,977	7,825	12.40%	\$1,841,693	80,074	13.98%
Nov-19	293,986	14,699	20.26%	\$2,356,713	117,836	22.62%
Dec-19	279,109	13,291	20.03%	\$2,271,341	108,159	18.93%
Jan-20	141,362	6,732	10.15%	\$1,133,151	53,960	11.28%
Feb-20	164,086	8,636	11.78%	\$1,093,724	57,564	13.24%
Mar-20	488,799	22,218	35.08%	\$2,040,170	92,735	36.70%
Apr-20	354,823	16,896	25.31%	\$1,440,887	68,614	24.07%
May-20	286,101	13,624	20.40%	\$975,423	46,449	22.88%
Jun-20	1,124,042	51,093	80.21%	\$4,440,219	201,828	82.29%

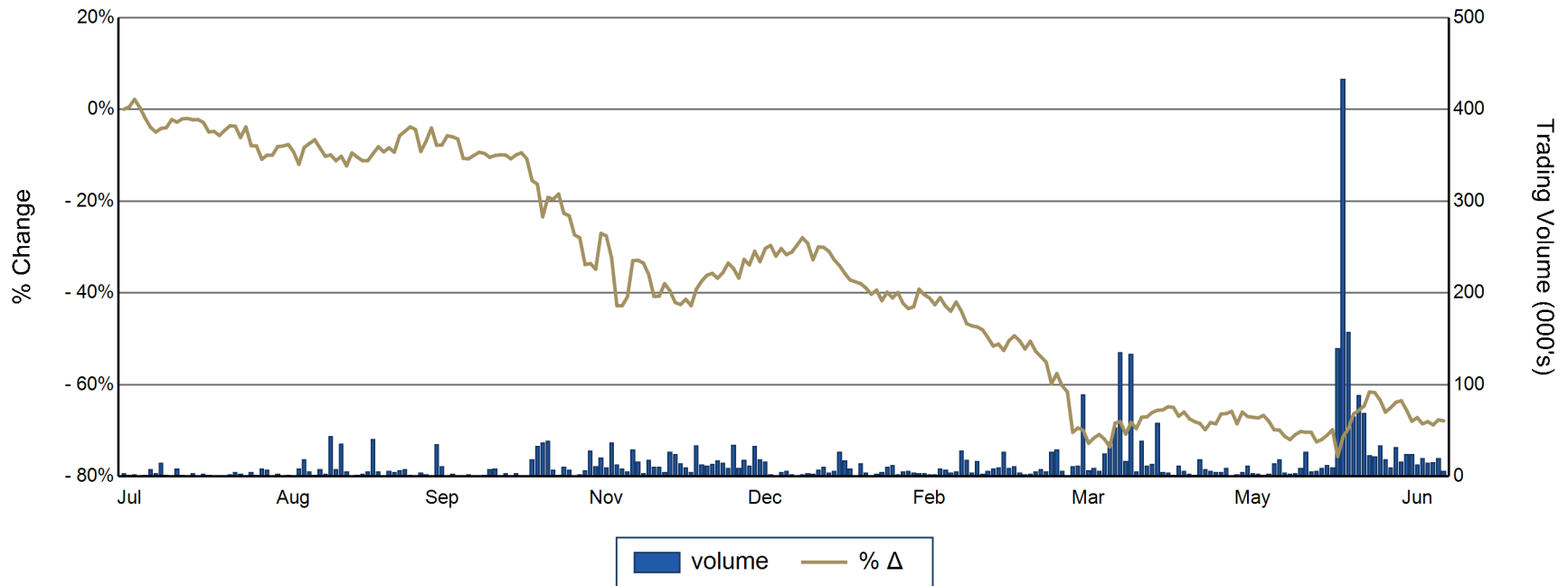
Date	High Price	Low Price	Closing Price	DR Trading Volume	DR Dollar Trading Volume
06/01/20	\$4.12	\$3.34	\$3.58	432,728	\$1,547,738
06/02/20	\$3.90	\$3.50	\$3.80	156,894	\$596,197
06/03/20	\$4.20	\$3.70	\$4.20	64,818	\$272,236
06/04/20	\$4.30	\$3.90	\$4.30	88,197	\$379,247
06/05/20	\$4.64	\$4.30	\$4.42	68,519	\$302,854
06/08/20	\$4.93	\$4.43	\$4.80	22,495	\$107,976
06/09/20	\$4.99	\$4.55	\$4.78	21,616	\$103,322
06/10/20	\$4.99	\$4.52	\$4.58	33,242	\$152,095
06/11/20	\$4.33	\$4.10	\$4.25	18,593	\$79,020
06/12/20	\$4.52	\$4.29	\$4.37	9,453	\$41,311
06/15/20	\$4.61	\$4.11	\$4.52	31,505	\$142,403
06/16/20	\$4.72	\$4.21	\$4.56	15,974	\$72,841
06/17/20	\$4.60	\$4.11	\$4.30	23,874	\$102,658
06/18/20	\$4.23	\$4.00	\$4.00	23,809	\$95,236
06/19/20	\$4.38	\$4.05	\$4.10	12,815	\$52,542
06/22/20	\$4.20	\$3.93	\$3.93	19,563	\$76,883
06/23/20	\$4.09	\$3.92	\$3.99	14,440	\$57,616
06/24/20	\$4.04	\$3.70	\$3.90	15,204	\$59,306
06/25/20	\$4.27	\$3.90	\$4.04	19,419	\$78,453
06/26/20	\$4.18	\$3.91	\$4.01	5,840	\$23,423
06/29/20	\$4.13	\$3.84	\$3.88	14,750	\$57,230
06/30/20	\$4.01	\$3.75	\$3.85	10,294	\$39,632
<b>Totals</b>				<b>1,124,042</b>	<b>\$4,440,219</b>

### BNY Mellon Depositary Receipts Contact BNY Mellon Depositary Receipts Website: [www.adrbnymellon.com](http://www.adrbnymellon.com)

		Tel	Fax	E-mail
Relationship Manager New York:	Ms. Nicole C Niceforo	2128152713		nicole.niceforo@bnymellon.com

# Price Performance

## Daily Stock Price Change & Trading Volume



	Jul-19	Aug-19	Sep-19	Oct-19	Nov-19	Dec-19	Jan-20	Feb-20	Mar-20	Apr-20	May-20	Jun-20
Avg. Daily Volume*	3	7	7	9	15	13	7	9	22	17	14	55
Monthly High*	14	43	41	38	36	34	27	28	135	133	140	433
Monthly Low*	0	0	0	0	2	1	0	2	1	0	1	6
Avg. Closing Price	\$12.13	\$11.36	\$11.56	\$10.67	\$7.98	\$8.18	\$8.04	\$6.85	\$4.77	\$4.10	\$3.77	\$4.22

All data is for ITCB

\* Share volumes in thousands

# Top Institutional Holders

Institution	EAUM (\$mm)	Style	Shares	Share Change	TO %	% Port	% S/O
1 Renaissance Technologies, LLC	102,248.4	Alternative	318,400	-6,766	68.24	0.0	0.1
2 Dimensional Fund Advisors, L.P. (U.S.)	234,091.8	Value	145,463	-10,774	9.25	0.0	0.0
3 Northern Trust Investments, Inc.	328,355.0	Index	135,832	-6,561	7.46	0.0	0.0
4 State Street Global Advisors (SSgA)	1,205,830.6	Index	131,750	10	5.47	0.0	0.0
5 Sumitomo Mitsui Trust Asset Management Company, LT	211,556.7	Yield	80,745	-30,233	11.14	0.0	0.0
6 State Street Global Advisors, LTD	85,031.5	Index	45,047	0	12.63	0.0	0.0
7 BlackRock Investment Management (U.K.), LTD	408,477.1	Growth	45,000	0	17.34	0.0	0.0
8 Parametric Portfolio Associates, LLC	112,374.9	GARP	21,113	-9,567	13.81	0.0	0.0
9 Aperio Group, LLC	22,821.9	Index	20,051	-7,256	18.52	0.0	0.0
10 KBC Asset Management N.V.	18,687.6	Growth	18,677	0	34.99	0.0	0.0
11 Comerica Bank (Asset Management)	10,067.7	Growth	16,755	-376	14.47	0.0	0.0
12 Credit Suisse AG (Asset Management)	1,518.3	Value	7,814	0	36.36	0.0	0.0
13 DWS Investments UK, LTD	46,267.4	Index	7,330	-1	86.31	0.0	0.0
14 Renta 4 Gestora, S.G.I.I.C., S.A.	1,395.5	Growth	5,500	0	38.14	0.0	0.0
15 ID-Sparinvest, Filial af Sparinvest S.A., Luxembou	6,181.2	Value	5,302	-1	34.59	0.0	0.0
16 Nomura Asset Management Company, LTD	207,581.3	Deep Value	5,242	0	11.56	0.0	0.0
17 Dorval Asset Management S.A.	791.2	Growth	5,000	0	113.26	0.0	0.0
18 Pure Capital S.A.	116.9	Value	3,000	0	130.71	0.0	0.0
19 Deka Investment GmbH	44,037.3	Value	2,924	0	46.26	0.0	0.0
20 PanAgora Asset Management, Inc.	14,832.7	Index	2,411	-480	64.95	0.0	0.0
21 U.S. Trust, Bank of America Private Wealth Managem	119,969.2	Growth	2,357	312	34.22	0.0	0.0
22 Royal Alliance Associates, Inc.	2,651.4	Ext. Mngd	2,135	2,135	28.07	0.0	0.0
23 Dimensional Fund Advisors, LTD	5,562.6	Value	1,100	0	34.33	0.0	0.0
24 U.S. Bank Private Asset Management	31,325.9	Growth	669	0	11.72	0.0	0.0
25 PNC Bank, N.A. (Asset Management)	42,932.2	Growth	544	0	8.73	0.0	0.0

My Symbol: ITCB  
Investor engages in activism: † occasionally; †† often

Shares: Most recent available position  
Share Change: since previous quarter  
Action Count: number of activities with the investor during the selected time period

# Top Institutional Holders

Institution	EAUM (\$mm)	Style	Shares	Share Change	TO %	% Port	% S/O
26 Morgan Stanley & Company, LLC	N/A	N/A	519	-44	N/A	0.0	0.0
27 Glenmede Trust Company (Asset Management)	9,919.3	Value	512	0	14.41	0.0	0.0
28 Kore Private Wealth, LLC	418.3	Growth	498	-134	38.15	0.0	0.0
29 Wells Fargo Advisors, LLC	N/A	N/A	464	-158	N/A	0.0	0.0
30 Tower Research Capital, LLC	1,643.9	Alternative	196	196	220.79	0.0	0.0
31 Vision2020 Wealth Management Corporation	4,474.7	Ext. Mngd	87	1	52.60	0.0	0.0
32 AXA Investment Managers Paris S.A.	15,773.1	Growth	23	0	34.39	0.0	0.0
33 SignatureFD, LLC	1,156.7	Index	1	-59	35.64	0.0	0.0

My Symbol: ITCB

Investor engages in activism: † occasionally; †† often

Shares: Most recent available position

Share Change: since previous quarter

Action Count: number of activities with the investor during the selected time period

BNY Mellon is a global investments company dedicated to helping its clients manage and service their financial assets throughout the investment lifecycle. Whether providing financial services for institutions, corporations or individual investors, BNY Mellon delivers informed investment management and investment services in 35 countries. As of March 31, 2020, BNY Mellon had \$35.2 trillion in assets under custody and/or administration, and \$1.8 trillion in assets under management. BNY Mellon can act as a single point of contact for clients looking to create, trade, hold, manage, service, distribute or restructure investments. BNY Mellon is the corporate brand of The Bank of New York Mellon Corporation (NYSE: BK). Additional information is available on [www.bnymellon.com](http://www.bnymellon.com). Follow us on Twitter @BNYMellon or visit our newsroom at [www.bnymellon.com/newsroom](http://www.bnymellon.com/newsroom) for the latest company news.

This document, which may be considered advertising, is for general information and reference purposes only and is not intended to provide legal, tax, accounting, investment, financial or other professional advice on any matter, and is not to be used as such. BNY Mellon does not warrant or guarantee the accuracy or completeness of, nor undertake to update or amend the information or data contained herein. We expressly disclaim any liability whatsoever for any loss howsoever arising from or in reliance upon any of this information or data. We provide no advice nor recommendation or endorsement with respect to any company or securities. Nothing herein shall be deemed to constitute an offer to sell or a solicitation of an offer to buy securities. If distributed in the UK or EMEA, this document may be a financial promotion and is for distribution only to persons to whom it may be communicated without breach of applicable law.

This document is not intended for distribution to, or use by, any person or entity in any jurisdiction in which such distribution or use would be contrary to local law or regulation. Similarly, this document may not be distributed or used for the purpose of offers or solicitations in any jurisdiction or in any circumstances in which such offers or solicitations are unlawful or not authorized, or where there would be, by virtue of such distribution, new or additional registration requirements. Persons into whose possession this document comes are required to inform themselves about and to observe any restrictions that apply to the distribution of this document in their jurisdiction. The information contained in this document is for use by wholesale clients only and is not to be relied upon by retail clients. BNY Mellon has various subsidiaries, affiliates, branches and representative offices in the Asia Pacific Region that are subject to regulation by the relevant local regulator in that jurisdiction. **We wish to inform you that whilst The Bank of New York Mellon ("BNY Mellon") is authorized to provide financial services in Australia, it is exempt from the requirement to hold, and does not hold, an Australian financial services licence as issued by the Australian Securities and Investments Commission under the Corporations Act 2001 (Cth) in respect of the financial services provided by it to persons in Australia. BNY Mellon is regulated by the New York State Department of Financial Services and the US Federal Reserve under Chapter 2 of the Consolidated Laws, The Banking Law enacted April 16, 1914 in the State of New York, which differs from Australian laws. Not all products and services are offered in all locations.**

*This material may not be reproduced or disseminated in any form without the prior written permission of BNY Mellon.*

**Depository Receipts:  
NOT FDIC, STATE OR FEDERAL AGENCY INSURED  
MAY LOSE VALUE  
NO BANK, STATE OR FEDERAL AGENCY GUARANTEE**



Trademarks and logos belong to their respective owners.

©2020 The Bank of New York Mellon Corporation. All rights reserved.